

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Giuseppe Di Fabrizio et al.
Docket No.: 2002-0354
Application No.:
Filing Date: January 22, 2004
Examiner Name:
Group Art Unit:
Title: System and Method To Disambiguate And Clarify User Intention In A Spoken Dialog System

INFORMATION DISCLOSURE STATEMENT

MAIL STOP: Patent Application
THE COMMISSIONER FOR PATENTS
PATENT & TRADEMARK OFFICE
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SIR:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b).

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA Application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☐ 2. The references were cited by or submitted to the Office in parent Application No. _____, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these references are not attached. 37 C.F.R. § 1.98(d).

Respectfully,

Date: Jan. 22, 2004

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PATENT APPLICATION

PTO/SB/08A MODIFIED

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Completer if Known	
				Application Number	
				Filing Date	January 22, 2004
				First Named Inventor	Giuseppe Di Fabbri et al.
				Group Art Unit	
				Examiner Name	
Sheet	1	of	3	Attorney Docket Number	2002-0354

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	6,606,598		Holthouse et al.	08-12-2003	
	2	6,604,094		Harris	08-05-2003	
	3	6,604,090		Tackett et al.	08-05-2003	
	4	6,510,411		Norton et al.	01-21-2003	
	5	6,490,560		Ramaswamy et al.	12-03-2002	
	6	6,192,110		Abella et al.	02-20-2001	
	7	6,173,266		Marx et al.	01-09-2001	
	8	6,044,347		Abella et al.	03-28-2000	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Office ⁴	Number (if known)	Kind Code ²				
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents ³Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION
DISCLOSURE
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(use as many sheets as necessary)

Complete if Known

Application Number

Filing Date

Jan. 22, 2004

First Named Inventor

Giuseppe Di Fabrizio et al.

Group Art Unit

Examiner Name

Sheet

2

2

Attorney Docket Number

2002-0354

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	Alicia Abella, Allen L. Gorin, "Generating Semantically Consistent Inputs to a Dialog Manager", EuropSpeech 1997	<input type="checkbox"/>
	2	Xuedong Huang, Alex Acero, Hsiao-Wuen Hon, <u>Spoken Language Processing, A Guide to Theory, Algorithm, and System Development</u> , pages 887-918, Prentice Hall 2001.	<input type="checkbox"/>
	3		<input type="checkbox"/>
	4		<input type="checkbox"/>
	5		<input type="checkbox"/>
	6		<input type="checkbox"/>

Examiner Signature	Date Considered
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